



PTO/SB/21 (09-04)

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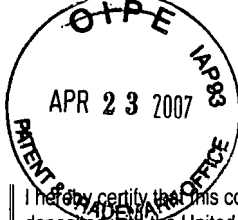
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/814,609
	Filing Date	March 29, 2004
	First Named Inventor	Luke P. Lee
	Group Art Unit	1631
	Examiner Name	Moran, Marjorie A,
Total Number of Pages in This Submission	Attorney Docket Number	313S-300610US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> PTO-1449 Form	<input type="checkbox"/> Interview Summary
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Cited References (4)	<input type="checkbox"/> Request for Continued Examination (RCE)
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Copy of PCT Search Report	<input type="checkbox"/> Request for Corrected Filing receipt
<input type="checkbox"/> Amendment and Request for Reconsideration	<input type="checkbox"/> Copy of EP Search Report	<input type="checkbox"/> Copy of Filing Receipt – marked up
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure(s) (please identify below):
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Stephen J. LeBlanc, Reg. No. 36,579, Quine Intellectual Property Law Group, P.C.
Signature	
Date	April 6, 2007

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By

Chianti Appling

Attorney Docket No. 313S-300610US
Client Ref. No. B02-086-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Luke P. Lee, et al.

Application No.: 10/814,609

Filed: March 29, 2004

For: METHOD AND APPARATUS FOR
NANOGAP DEVICE AND ARRAY

Confirmation No. 5428

Examiner: Moran, Marjorie A,

Art Unit: 1631

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Commissioner for Patents
PO Box 1450
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Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. Also, enclosed is a copy of International Search Report for corresponding International Application No. PCT/US04/09928. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.


As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Luke P. Lee, et al.
Application No.: 10/814,609
Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

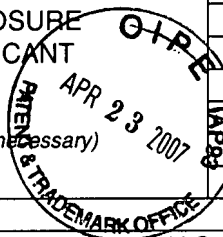


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Substitute for form 1449A-B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Complete if Known	
	Application Number	10/814,609
	Filing Date	March 29, 2004
	First Named Inventor	Luke P. Lee
	Group Art Unit	1631
	Examiner Name	Moran, Marjorie A,
	Attorney Docket Number	313S-300610US
	Date Submitted	April 6, 2007



U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS								
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	1	International Search Report and Written Opinion for PCT/US04/09928	
	2	BERGGREN, C. (1999) Electroanalysis, No. 3, pp. 156-160.	
	3	BERGGREN, C. (2001) Electroanalysis, No. 3, pp. 173-180	
	4	PALECEK, E. (1998) Biosensors & Bioelectronics, No. 13, pp. 621-628.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.